

FEB. 24. 2006 10:51AM

RECEIVED  
CENTRAL FAX CENTER

NO. 445 P. 1

**Pepper Hamilton LLP**  
Attorneys at Law

FEB 24 2006

**FAX INFORMATION SHEET**

50th Floor  
500 Grant Street  
Pittsburgh, PA 15219-2502  
412.454.5000  
Fax 412.281.0717  
www.pepperlaw.com

Date: February 24, 2006  
ID Number: 61029  
Identifier: 124256.00401

<u>Recipient's Name</u>	<u>Company</u>	<u>General Number</u>	<u>Fax Number</u>
USPTO			571-273-8300

Sender: James M. Singer  
Sender's Direct Line: 412.454.5023  
Sender's Email Address: singerj@pepperlaw.com

Total Pages Including Cover: 4

Comments: ATTACHED IS A SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
FOR APPLICATION NO. 10/686,462.

An original or a copy has ☐ or has not ☒ been sent to you by mail ☐ or by overnight service ☐ or by email ☐.

◆ ◆ If total pages are not received, or an error occurred during this transmission,  
please call the sender at the direct line listed above. ◆ ◆

◆ ◆ CONFIDENTIALITY NOTE ◆ ◆

The documents accompanying this facsimile transmission contain information from the law firm of Pepper Hamilton LLP which is confidential and/or legally privileged. The information is intended only for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this faxed information is strictly prohibited, and that the documents should be returned to this Firm immediately. In this regard, if you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the return of the original documents to us at no cost to you.

**Operator's Use Only**

Start Time: : am [ ] pm [ ] End Time: : am [ ] pm [ ]

Operator:

Coversheet Page 1 of 1

FEB. 24 2006 10:51AM

RECEIVED  
CENTRAL FAX CENTER

NO. 445 P. 2

FEB 24 2006

Attorney Docket No.: 124256.401

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
Donoho et al. :  
Serial No. 10/686,462 : Group Art Unit: 3621  
Filed: October 15, 2003 : Examiner: Not yet assigned  
For: METHOD AND SYSTEM FOR THE PROTECTION OF BROKER  
AND INVESTOR RELATIONSHIPS, ACCOUNTS AND  
TRANSACTIONS

Commissioner for Patents  
Mail Stop Amendment  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

PT: #250541 v1 (SDBH011.DOC)

AUTHORIZATION

No fee is required. The Commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to deposit account no. 50-0436.

Respectfully submitted,

PEPPER HAMILTON LLP



James M. Singer  
Registration No. 45,111

Pepper Hamilton LLP  
One Mellon Bank Center  
50<sup>th</sup> Floor  
500 Grant Street  
Pittsburgh, PA 15219  
Telephone: (412) 454-5023  
Facsimile: (412) 281-0717  
Date: February 24, 2006

3